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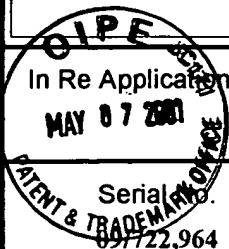
# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

14098

In Re Application Of: Kaoru Uchida



Serial No.

09/722,964

Filing Date

November 27, 2000

Examiner

unassigned

Group Art Unit

unassigned

Title: **USER AUTHENTICATION APPARATUS WHICH USES BIOMETRIC AND USER AUTHENTICATION METHOD FOR USE WITH USER AUTHENTICATION APPARATUS**

Address to:

Assistant Commissioner for Patents

Washington, D.C. 20231

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## 37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

## 37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

14098

In Re Application Of: Kazuo Uchida

Serial No.  
09/722,964Filing Date  
November 27, 2000Examiner  
unassignedGroup Art Unit  
unassignedTitle: **USER AUTHENTICATION APPARATUS WHICH USES BIOMETRIC AND USER AUTHENTICATION****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature

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**Certificate of Mailing by First-Class Mail**

I certify that this document and fee is being deposited on 5/4/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

**\*This certificate may only be used if paying by deposit account.**

Dated: May 4, 2001

Signature

Paul J. Esatto, Jr., Reg. No. 30,749  
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
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CC:



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants: Kaoru Uchida**

**Examiner: unassigned**

**Serial No.: 09/722,964**

**Art Unit: unassigned**

**Filed: November 27, 2000**

**Docket: 14098**

**For: USER AUTHENTICATION APPARATUS  
WHICH USES BIOMETRIC AND USER  
AUTHENTICATION METHOD FOR USE WITH  
USER AUTHENTICATION APPARATUS**

**Dated: May 4, 2001**

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**MAY 15 2001**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2600

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.K. Patent Application GB 2 345 371A published July 5, 2000.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on May 4, 2001.

Dated: May 4, 2001

  
Michelle Mustafa

The reference was cited in a Search Report received from the United Kingdom Patent Office dated January 5, 2001. Applicant is submitting a copy of the above-cited reference, together with a copy of the Search Report. The relevance of the above-identified reference has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.  
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PJE:ae